

Work plan for EITI implementation 2018 -2019

Actions	Tasks	Required steps	Indicators	Executor	Partners	Deadline	Source of funding/ Estimated cost (USD)	
National Priorities								
1. Open data policy								
<p>In 2017 Kazakhstan underwent the next process of validation. On February 13-14, 2018 in Oslo (Norway) during the 39th Meeting of the International Board of EITI the decision was made on the status across Kazakhstan. The international Board of EITI decided that Kazakhstan achieved significant progress in implementation of the EITI, at the same time a number of recommendations for improvement of further implementation of EITI http://eiti.geology.gov.kz/ru/validation is given. "Regular disclosure of data of mining industry has no big practical use without informing the public and understanding of what is meant by figures in a public discussion about how income from natural resources can be used in the most effective way".</p> <p style="text-align: center;">Purposes of open data</p> <p style="text-align: center;">1. Transparency promotion: Increase in awareness on how natural resources are used and as revenues of the companies of the extracting industries are spent.</p> <p style="text-align: center;">2. Data availability: Free access at any time</p> <p style="text-align: center;">3. Public debates: EITI Principe 4: "Public understanding of government revenues and expenditures will help public debates and informed choice of the right and realistic options for implementing sustainable development.</p>								
1.1. Actions for the recommendations of the International Board during validation of 2017 for a full compliance to the EITI Standard	Forming of the list of actions according to the recommendations of the International Board of EITI for elimination of discrepancies within 18 months	Discussion of recommendations of the International Board of EITI following the results of validation of 2017 on remedial measures within the next 18 months prior to the second validation on August 13, 2019.	Carrying out working meeting of the working groups of NCIP on validation and verification, the discussion results posted on the EITI website	Reconciliation WG, Validation WG and Secretariat	The NCIP, EITI Secretariat, stakeholders	April, 2017	Not required	
	The recommendations of the WG of NCIP about validation and verification developed during working meeting on carrying out remedial measures for the second validation	Discussion on NCIP, the NCIP Protocol	Inclusion of actions in WP	The NCIP, Secretariat	Secretariat, The NCIP, stakeholders	May, 2018	Not required	
	Preparation of the report for work on implementation of EITI (about progress) the Requirement 7.4 a of the EITI 2016 Standard	Collection of information from each of the parties about the done work on implementation of EITI	Providing from each of the parties of NCIP information on their activity for inclusion in the Report	The NCIP parties, Secretariat		May, 2018	State budget including salaries of the Secretariat*	*
		Preparation of the draft of the report	Draft Progress Report 2016 on EITI implementation in Kazakhstan	The NCIP stakeholders, Secretariat	stakeholders	June, 2018	State budget including salaries of the Secretariat*	*
		The approval of the report of NCIP, the direction of the report in the International Secretariat	The report on implementation of EITI in Kazakhstan for 2017, the NCIP Protocol, the publication on Kazakhstan EITI website	The NCIP, Secretariat	stakeholders	till July 1, 2018	Coverage of costs on attending the NCIP meetings by NGO (SFK)*, WB consultant fee, state budget including salaries of the Secretariat*	*
1.2. Preparation of the XIII National Report 2017	ToR development and approval	Draft ToR development	Draft ToR	Reconciliation WG	Secretariat, NCIP, stakeholders	January, 2018 (Report 2017)	Not required	

	Entering of additions and adjustments to the draft ToR, approved by the NCIP Minutes № 71, dated 29.01.2018	Entering of additions and adjustments to the draft ToR, approved by the NCIP Minutes № 71, dated 29.01.2018	Reconciliation WG, the NCIP, Secretariat	Secretariat, NCIP, stakeholders	January 2018	Not required	
Election of Independent Administrator	Preparation to the competition	Technical documentations for the competition announcement are prepared	Committee for Geology and Subsoil Use MID RK	NCIP, Secretariat	February, 2018	State budget including salary of a public procurement manager	*
	Competition announcement	The competition is announced	Committee for Geology and Subsoil Use MID RK	NCIP, Secretariat	March, 2018	State budget including salary of a public procurement manager	*
	Competition	On participation in the competition 1 request was submitted	Committee for Geology and Subsoil Use MID RK	NCIP, Secretariat	April, 2018 (Repeated)	State budget including salary of a public procurement manager	*
	Summing up the results of the competition. Approval of Independent Administrator by the NCIP	According to paragraph 2 of Article 29 of the Law on Public Procurements of the Republic of Kazakhstan, a decision was taken to implement public procurement from a single source (The NCIP minutes)	Committee for Geology and Subsoil Use MID RK	NCIP, Secretariat	April, 2018	State budget including salary of a public procurement manager, state budget including salaries of the Secretariat*	*
	Signing of the contract. Registration of the contract in the treasury agencies	Contract № _____ from _____ with _____	RCGI "Kazgeoinform"	NCIP, Secretariat	April - May, 2018	State budget including salary of a public procurement manager	
	Reconciliation	Preparation of the initial report according to the requirement 4.9 Standard ToR	Initial report	Independent Administrator, Secretariat	WG on reconciliation , Government agencies	May - June, 2018	In the framework of the budget subprogram 100*
Data collection for the Independent Administrator		The provided details according to requests	Independent Administrator, Secretariat	Government agencies, national companies and other reconciliation participants	May - June, 2018	In the framework of the budget subprogram 100*	*
Preparation of preliminary draft of the report on reconciliation		Draft of the report on verification	Independent Administrator, Secretariat	Government agencies, national companies and other reconciliation participants	till the end of August, 2018	In the framework of the budget subprogram 100*	*
Consideration of the draft of the report on NCIP		The NCIP Minutes	The NCIP	Secretariat, WG on reconciliation	August - September, 2018	Coverage of costs on attending the NCIP meetings by NGO (SFK)*, WB consultant fee, state budget including salaries of the Secretariat*	*
Completion of the draft of the report on to basis of recommendations of NCIP		Entering of additions, amendments into the draft of the report on reconciliation	Independent Administrator, Secretariat	Secretariat, WG on reconciliation	October 2018	In the framework of the budget subprogram 100*	*

		Consideration of the final report on NCIP	The NCIP Minutes	The NCIP		End of October, 2018	Coverage of costs on attending the NCIP meetings by NGO (SFK)*, WB consultant fee, state budget including salaries of the Secretariat*	*
	Production of the popular version of the Report	The statement of a format of the popular version and ToR for the contractor (the Requirement of 7.1 d of the EITI Standard 2016)	Popular version of the Report	Independent Administrator	Independent Administrator, NCIP Secretariat, stakeholders	June - July, 2018	In the framework of the budget subprogram 100*	*
		Production of popular report version	Popular report version	Independent Administrator	Independent Administrator, NCIP Secretariat, stakeholders	October,2018	In the framework of the budget subprogram 100*	*
1.3. To increase understanding of process of EITI (Requirement of 7.1 e of the EITI 2016 Standard)	Research on information stored on the open data website	Carrying out a research on open and available information on the official sites within requirements of EITI	Recommendations for use	experts	Government agencies, stakeholders	2018-2019	Donors (International organizations under approval)	\$ 15 000
	Further consolidation of EITI reporting in state systems	Ensuring open access to the government institutions interested bodies for use data reporting of IEITI	Free access for any user	ME, MF, MID, EGSU operators	Secretariat	2018-2019	State budget including salaries of the Secretariat*	*
	EITI Report distribution (full versions on electronic media and printed popular versions) Requirement of 7.1 a of the EITI 2016 Standard	Printed popular version and full version on usb drives with the EITI logo (at least 200 copies)	List of recipients at conferences, seminars, round tables, working meetings, etc.	Secretariat	Government agencies, stakeholders	2018-2019	Donors (KZT 900K), International organizations under approval	\$ 2 813
		Publications on EITI website		Secretariat		During 2 days after approval by the NCS	State budget including salaries of the Secretariat*	*
		Distribution of the Report through media, preparation of articles and announcements and their translations	Public awareness	Communication WG, the NCIP, Secretariat	Press service of the MID, ME, MF, Kazenergy and AMME	2018-2019	Not required	\$ 5 000
	Increase the impact of EITI reports	Discussion organization	Reconciliation WG training for work on the National Report for NGO	NGO with prior informing of the Secretariat	SFK, WB, Embassy of the GB, USAID	2018-2019	Donors, International organizations under approval	\$ 15 000
	To make public understand the issues of the extractive industry and its regulatory and legal framework	Creation and broadcast of educational rollers of programs in local media, social networks, on local actions	Holding a webinar (seminar) for Civil society (further – CS) regarding changes in the legislation in the field of subsurface use taking into account conceptual regulations of the Code about a subsoil and subsurface use, including a transition period – the fiscal mode and also regulations on EITI. Work is carried out by NGO through social networks, the websites of KGN, MIR RK	NGO, Secretariat, NCIP, Communication WG	SFK, WB, Embassy of the GB, USAID	September - October, 2018 and 2019	Donors	*

Holding annual National conferences on EITI	Approval of structure and quantities of promomaterials	The NCIP Minutes	The NCIP, Secretariat	Kazenergy (in 2 years once within the forum)	September - October, 2018 and 2019	Coverage of costs on attending the NCIP meetings by NGO (SFK)*, WB consultant fee, state budget including salaries of the Secretariat*	\$ 5 000
	Production of promomaterials	Charms, calendars, packages with the EITI logo	WB	WB	September - October, 2018 and 2019	Donors	*
	Approval of the program, participant list, venue and questions of logistics, participation in a conference	(The NCIP Minutes) the program, promomateriala issues of preparation for the 9th National Conference on IPDO are also discussed	The NCIP, Secretariat	AMME, GIZ, WB, SFK, MID	October, 2018	Coverage of costs on attending the NCIP meetings by NGO (SFK)*, WB consultant fee, state budget including salaries of the Secretariat*	
	The 10th National EITI Conference	The 10th National EITI Conference, Astana	MID RK, NCIP, Secretariat	Kazenergy, AMME, GIZ, WB, SFK, MID	October, 2019	Within the forum Kazenergy	
The organization information/debatable sessions about participation of members of NCIP, seminars, round tables	Under Administration of the President request annually akimats of the regions conduct meetings with companies and NGOs	Increase in level of knowledge, Minutes of discussions (are published on the website of IPDO)	Akimats	Akimats, Secretariat, extracting companies, stakeholders	April - May 2018 and 2019	Within activity of akimats	
	Events by NGOs	Increase in level of knowledge	NGOs	The NCIP, Secretariat, NGOs, USAID	2018-2019	International secretariat, donors	
	Training on EITI requirements on BO disclosure and preparation for Validation in Kazakhstan 2017 for Secretariat and the NCIP members	Increase in level of knowledge	International Secretariat	International Secretariat	2018-2019	International secretariat, donors	
Transfers of experience on interaction of state bodies, business and civil society within implementation of EITI to other state bodies and concerned parties, including within implementation of other projects aimed at providing transparency of their activity	Initiation of the Interdepartmental platform on exchange of experience of interaction of the state with GO regarding increase in transparency and accountability within implementation of EITI and also work and participation in NCIP from CS	Information references following the results of the Interdepartmental platform on exchange of experience	The NCIP Secretariat, Ministry of religions and civil society, NGO	NCIP, Ministry of religions and civil society, NGO, Kazenergy, AMME	With agreed by the parties 2018-2019	International secretariat, donors	
	Participation on EITI events	information on actions, are published on the website http://eiti.geology.gov.kz/ru/about-us/publikatsii-v-smi	The NCIP, Secretariat	SFK, WB, Embassy of the GB, USAID	2018-2019	Donors, State budget including salaries of the Secretariat*	*

		Experience sharing with other countries	Meetings with delegations from EITI implementing countries to share the experience of achievements of EITI implementation in Kazakhstan	The NCIP, Secretariat	Delegations from EITI implementing countries	With agreed by the parties 2018-2019	State budget including salaries of the Secretariat*	*
		Adapt Secretariat to perform wide range of tasks, in result of new requirements in EITI Standard	Two new members in the National Secretariat, there are job descriptions for the Secretariat members, which define the range of tasks and authorities	The NCIP, Secretariat	Government agencies	With agreed by the parties 2018-2019	State budget including salaries of the Secretariat*	*
1.4. Activity of NCIP	NCIP work and organization of Working implementation process of EITI	Holding meetings of NCIP. Scheduling of holding meetings of NCIP, including online conferences	The NCIP minutes and placement them on the EITI website of Kazakhstan	NCIP, Secretariat	stakeholders	3-4 times a year	Coverage of costs on attending the NCIP meetings by NGO (SFK)*, WB consultant fee, state budget including salaries of the Secretariat*	*
		Entering of amendments into the Working plan for 2018 - 2019 years after discussions on NCIP with participation of concerned parties	The NCIP minutes	NCIP, Secretariat	International Secretariat, WB, NGO, stakeholders	2018-2019	State budget including salary of a public procurement manager, state budget including salaries of the Secretariat*	*
		Approval by civil society of process of ensuring versatile and representative participation of civil society in National council of concerned parties (the requirement 1.4.a.i and 1.4.a.ii on management of MGZS).	Modification and additions in Regulations on the Dialogue Platform (DP). The invitation to participation in work of NCIP has to be open, transparent and independent. According to the requirement 1.4.a.ii and MoR NCIP, members of NCIP from civil society also have to make sure that their financing sources and affiliation are transparent	NCIP, DP	NGO, DP, CS, coalition	May - June, 2018	NGO, DP, CS, coalition	*
	Financing of actions for IPDO for 2018 according to the PJER program in MNE RK	Request in MNE RK on PJER program with WB	obtaining the answer from MNE RK	Secretariat	NCIP	June, 2018	WB	\$ 150 000
	Cost estimation and financing sources	Works on a fandreyzing to create the working group on a fandreyzing in NCIP	Assessment of financing sources for actions of WP	WG on a fandreyzing	NCIP, Secretariat, stakeholders	2018-2019	Donors	
Increase in potential of the members of NSZS, National Secretariat interested state bodies	Round table for government agencies	Giving a opportunity to key department to discuss current legislation, related to BO and any amendment to it, if necessary.	EBRD	Secretariat, The NCS, stakeholders	2017-2018	EBRD (5 thousand euro)		

2. Disclosure of beneficiaries

Activities Completed

ПРИМЕЧАНИЕ

СПИСОК СОКРАЩЕНИЙ

AMME - Association of Mining and Metallurgical Enterprises
BO - Beneficial Ownership
CGS –Committee of Geology and Subsoil Use
CS - civil society
EBRD -European Bank for Reconstruction and Development
EGSU - Integrated Information System "Unified State System of Subsoil Use Management of the Republic of Kazakhstan"
EITI - Extractive Industries Transparency Initiative
GB - Great Britain
GIZ - Gesellschaft für Internationale Zusammenarbeit
IWG - Interdepartmental Working Group
JERP – Joint Economic Research Program (World Bank)
KMG - KazMunaiGaz
LEB -Local executive body
ME -Ministry of Energy of the Republic of Kazakhstan
MF - Ministry of Finance of the Republic of Kazakhstan
MID - Ministry of Investment and Development of the Republic of Kazakhstan
NCIP - The National Council of Interested Parties
NGO - Non-governmental organization
PSA - Production Sharing Agreement
Secretariat - National EITI Secretariat Kazakhstan
SFK - Soros Foundation Kazakhstan
ToR – Terms of reference
USAID -United States Agency for International Development
WB - World Bank

Funding for 2018				
In the framework of the budget subprogram 100	37	\$	11 760	KZT
WB	150 000	\$	48 000 000	KZT
SFK		\$	0	KZT
EBRD	89290	\$	28 570 750	KZT
Donors (NGOs the NCS)	3 000	\$	960 000	KZT
Secretariat salaries	12 500	\$	4 000 000	KZT
WB consultant fee				KZT
Donors	#3HACH	\$	13500000	KZT
Public procurement manager salary	625	\$	200000	KZT
etc.				
Overall	#3HACH	\$	95 244 510	KZT

Exchange rate	
EURO-KZT	336,15
EURO-USD	1,0546875
USD-KZT	320

WG - Working group

* - Overall cost per year is listed in "Funding for 2017" table